

Brighter Future Advisor Plan

Exchange / Future Contribution (Allocation) Form for Clients of Broker Dealer Representatives



- Complete this form along with your financial advisor to exchange existing assets to a new investment option (**Section 2**) or to change your allocation instructions for future contributions (**Section 3**). Clients of Broker Dealer Representatives should use this form. If you are a client of a Registered Investment Advisor ("RIA"), please use the Exchange Form for Clients of Registered Investment Advisors.
- You can also exchange existing assets or change your future contributions online at **www.BrighterFutureAdvisor529.com**.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our website at **www.BrighterFutureAdvisor529.com**, or you can call us to order any form – or request assistance in

completing this form at **1.888.529.9552** any business day from 8:30 a.m. to 6:30 p.m. ET.

Mail this form and any other required documents to:

Brighter Future Advisor Plan
P.O. Box 219700
Kansas City, MO 64121-9700

For overnight delivery or registered mail, send to:

Brighter Future Advisor Plan
1001 E 101st Terrace, Suite 200
Kansas City, MO 64131

1. Account Information

$$\square\square\square\square\square\square\square\square - \square\square$$

Account Number

[illegible]

Name of Account Owner (first, middle initial, last)

$$\boxed{}\boxed{}\boxed{} - \boxed{}\boxed{}\boxed{} - \boxed{}\boxed{}\boxed{}\boxed{}$$

Daytime Telephone Number

$$\boxed{}\boxed{}\boxed{} - \boxed{}\boxed{}\boxed{} - \boxed{}\boxed{}\boxed{}\boxed{}$$

Evening Telephone Number

[illegible]

Name of Designated Beneficiary (first, middle initial, last)



2. Exchange Instructions For Existing Assets

- For each investment option you hold and wish to exchange, tell us the percentage of assets you want moved and where you want the assets invested. *(You must allocate at least **1%** of your exchange amount to each investment option that you choose. Use whole percentages only.)*
- See the Brighter Future Advisor Plan Program Description (available at www.BrighterFutureAdvisor529.com) for complete information on the investment options you are considering.
- Remember:** Federal law allows account owners to make two investment exchanges each calendar year.

Note: This change applies only to the assets currently held in your account; it will not affect the allocation of your future investments.

Exchange FROM		Investment Option	Exchange TO
☐ All		Year-of-Enrollment Portfolios	
☐ OR ☐ ☐ %		iShares College Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares 2027 College Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares 2030 College Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares 2033 College Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares 2036 College Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares 2039 College Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares 2042 College Portfolio	☐ ☐ ☐ %
		Asset Allocation Portfolios	
☐ OR ☐ ☐ %		iShares Aggressive Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Moderate Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Conservative Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Fixed Income Portfolio	☐ ☐ ☐ %
		Custom iShares Portfolios	
☐ OR ☐ ☐ %		iShares Russell 1000 Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Russell 2000 Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Core S&P Total U.S. Stock Market Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Core High Dividend Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Core U.S. REIT Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Core MSCI EAFE Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Core MSCI Emerging Markets Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Edge MSCI Min Vol EAFE Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Edge MSCI Min Vol Emerging Markets Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Core MSCI Total International Stock Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Core U.S. Aggregate Bond Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares TIPS Bond Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares 20+ Year Treasury Bond Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares Short Treasury Bond Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares 1-5 Year Investment Grade Corp Bond Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares iBoxx \$ Investment Grade Corporate Bond Portfolio	☐ ☐ ☐ %
☐ OR ☐ ☐ %		iShares iBoxx \$ High Yield Corporate Bond Portfolio	☐ ☐ ☐ %
		Savings Portfolio	
☐ OR ☐ ☐ %		Savings Portfolio	☐ ☐ ☐ %
TOTAL			1 0 0 %

3. Allocation Instructions for Future Contributions

- Your future contributions, which can be updated at any time, will be invested in the investment options you select until you change them.
- Before choosing your investment option, see the Brighter Future Advisor Plan Program Description (available at www.BrighterFutureAdvisor529.com) for complete information about the investment options offered.
- You must allocate at least **1%** of your contributions to each investment option that you choose. Use whole percentages only.
- Choose from Share Class A or Share Class L options

Note: This change applies only to the allocation of your future investments; it will not affect the assets currently held in your account.

Year-of-Enrollment Portfolios:

iShares College Portfolio

iShares 2027 College Portfolio

iShares 2030 College Portfolio

iShares 2033 College Portfolio

iShares 2036 College Portfolio

iShares 2039 College Portfolio

iShares 2042 College Portfolio

Percentage

%%%%%%%

Select Share Class (Required)

Class A ☐Class L ☐

Asset Allocation Portfolios:

iShares Aggressive Portfolio

iShares Moderate Portfolio

iShares Conservative Portfolio

iShares Fixed Income Portfolio

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Custom iShares Portfolios:

iShares Russell 1000 Portfolio

iShares Russell 2000 Portfolio

iShares Core S&P Total U.S. Stock Market Portfolio

iShares Core High Dividend Portfolio

iShares Core U.S. REIT Portfolio

iShares Core MSCI EAFE Portfolio

iShares Core MSCI Emerging Markets Portfolio

iShares Edge MSCI Min Vol EAFE Portfolio

iShares Edge MSCI Min Vol Emerging Markets Portfolio

iShares Core MSCI Total International Stock Portfolio

iShares Core U.S. Aggregate Bond

iShares TIPS Bond Portfolio

iShares 20+ Year Treasury Bond Portfolio

iShares Short Treasury Bond Portfolio

iShares 1-5 Year Investment Grade Corp Bond Portfolio

iShares iBoxx \$ Investment Grade Corporate Bond Portfolio

iShares iBoxx \$ High Yield Corporate Bond Portfolio

%%%%%%%%%%%%%%%%%

Savings Portfolio

Savings Portfolio

%

TOTAL

%

4. Signature — YOU MUST SIGN BELOW

I authorize the exchange of assets in my account to the investment option(s) I selected in **Section 2** and/or the allocation of my future contributions to the investment option(s) I selected in **Section 3**. I certify that I have read and understand, consent and agree to all the terms and conditions of the Brighter Future Advisor Plan Program Description and Participation Agreement.



SIGNATURE

Signature of Account Owner

□□ — □□ — □□□□

Date (mm/dd/yyyy)